

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549 Form 10-K

(MARK ONE)						
\checkmark	ANNUAL REP	ORT PURSUANT TO SECTI	ON 13 OR 15(d) OF THE SECU	RITIES EXCHANGE ACT OF 19	34	
	For the fiscal	year ended November 4	, 2018			
			OR			
	TRANSITION	REPORT PURSUANT TO SE	CTION 13 OR 15(d) OF THE SE	CURITIES EXCHANGE ACT OF	1934	
	For the trans	ition period from to				
State or Other Ju Incorporation or			Broadcom Inc.		Commission File Number	IRS Employer Identification No.
Delaware			1320 Ridder Park Drive San Jose, California		001-38449	35-2617337
			(408) 433-8000			
	-	_	nt as Specified in Its Charter Add Offices nt's telephone number, including	•		
		Securities re	egistered pursuant to Section 12	(b) of the Act:		
	ті	tle of Class		Name of Each Exch	nange on Which Register	red
	Common Stock, \$0.001 par value			The NASDAQ	Global Select Market	t
		Securities regis	stered pursuant to Section 12(g) (Title of class)	of the Act: None		
Indicate by che	- eck mark if the reg	istrant is a well-known seas	oned issuer, as defined in Rule 4	105 of the Securities Act. Yes	☑ No □	
Indicate by che	eck mark if the reg	istrant is not required to file	e reports pursuant to Section 13	or Section 15(d) of the Act. Ye	es 🗆 No 🗹	
preceding 12 mont		• , ,	Il reports required to be filed by nt was required to file such repo	• • •	_	•
•	ed pursuant to Ru	le 405 of Regulation S-T (§2	d electronically and posted on its 32.405 of this chapter) during th			
•	est of registrant's		uant to Item 405 of Regulation S xy or information statements inc		•	
Indicate by che	eck mark whether ee the definitions	0	elerated filer, an accelerated file "accelerated filer," "smaller rep	•	, ,	,,
Large accelerat	ed filer ☑	Accelerated filer \square	Non-accelerated filer (Do not check if a smaller reporting company)	Smaller reporting company	y □ Emerging	growth company \square
		indicate by check mark if the	ne registrant has elected not to $\mathfrak u$	use the extended transition peri	iod for complying with	any new or revised
Indicate by che	eck mark whether	the registrant is a shell com	pany (as defined in Rule 12b-2 o	of the Exchange Act). Yes \Box	No ☑	
second fiscal quart	er: As of May 6, 20	18, the aggregate market v	ommon equity held by non-affili alue of registrant's common stoo g day prior to the registrant's fis	ck held by non-affiliates (based	upon the closing sale	
As of Novemb	er 30, 2018, the re	•	ares of its common stock, \$0.00		ling.	
			Documents Incorporated by			
Information ro	autrod in rochance	to Dart III of this Annual De	nort on Form 10 K is haraby inco	arnorated by reference from the	a ragistrant's definitive	Draw Ctatamant for

Information required in response to Part III of this Annual Report on Form 10-K is hereby incorporated by reference from the registrant's definitive Proxy Statement for its 2019 Annual Meeting of Stockholders. Except as expressly incorporated by reference, the registrant's Proxy Statement shall not be deemed to be a part of this Annual Report on Form 10-K. The registrant intends to file its definitive Proxy Statement within 120 days after its fiscal year ended November 4, 2018.

PAGE(S) INTENTIONALLY OMITTED

Irell & Manella LLP 840 Newport Center Drive, Suite 400 Newport Beach, CA 92660

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

BROADCOM INC.

By: /s/ Hock E. Tan

Name: Hock E. Tan

Title: President and Chief Executive Officer

Date: December 21, 2018

POWER OF ATTORNEY

Each person whose individual signature appears below hereby authorizes and appoints Hock E. Tan, Thomas H. Krause, Jr., Mark D. Brazeal and Kirsten M. Spears, and each of them, with full power of substitution and resubstitution and full power to act without the other, as his or her true and lawful attorney-in-fact and agent to act in his or her name, place and stead and to execute in the name and on behalf of each person, individually and in each capacity stated below, and to file any and all amendments to this Annual Report on Form 10-K, and to file the same, with all exhibits thereto, and other documents in connection therewith, with the Securities and Exchange Commission, granting unto said attorneys-in-fact and agents, and each of them, full power and authority to do and perform each and every act and thing, ratifying and confirming all that said attorneys-in-fact and agents or any of them or their or his substitute or substitutes may lawfully do or cause to be done by virtue thereof.

Pursuant to the requirements of the Securities Exchange Act of 1934, this Annual Report on Form 10-K has been signed by the following persons on behalf of the Registrant in the capacities indicated and on the dates indicated.

<u>Signature</u>	<u>Title</u>	<u>Date</u>	
/s/ Hock E. Tan	President and Chief Executive	December 21, 2018	
Hock E. Tan	Officer and Director (Principal Executive Officer)		
/s/ Thomas H. Krause, Jr.	Chief Financial Officer	December 21, 2018	
Thomas H. Krause, Jr.	(Principal Financial Officer)		
/s/ Kirsten M. Spears	Principal Accounting Officer	December 21, 2018	
Kirsten M. Spears			
/s/ Henry Samueli	Chairman of the Board of Directors	December 21, 2018	
Henry Samueli			
/s/ Eddy W. Hartenstein	Lead Independent Director	December 21, 2018	
Eddy W. Hartenstein			
/s/ Gayla J. Delly	Director	December 21, 2018	
Gayla J. Delly			
/s/ James Diller Sr.	Director	December 21, 2018	
James Diller Sr.			
/s/ Lewis C. Eggebrecht	Director	December 21, 2018	
Lewis C. Eggebrecht			
	Director		
Check Kian Low			
/s/ Donald Macleod	Director	December 21, 2018	
Donald Macleod			
/s/ Peter J. Marks	Director	December 21, 2018	
Peter J. Marks			

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549 Form 10-K

(MARK ONE)

ANNUAL REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 For the fiscal year ended November 3, 2019

OR

TRANSITION REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934 For the transition period from to

Broadcom Inc.

Delaware (State or Other Jurisdiction of Incorporation or Organization) 1320 Ridder Park Drive
San Jose, CA 95131-2313
(408) 433-8000

(Commission File (I.R.S. Employer Identification No.

35-2617337

001-38449

(Exact Name of Registrant as Specified in Its Charter Address of Principal Executive Offices Registrant's Telephone Number, Including Area Code)

Securities registered pursuant to Section 12(b) of the Act:

Title of Each Class Trading Symbol(s) Name of Each Exchange on Which Registered
Common Stock, \$0.001 par value AVGO The NASDAQ Global Select Market

8.00% Mandatory Convertible Preferred Stock, Series A, \$0.001 par value AVGOP The NASDAQ Global Select Market

Securities registered pursuant to Section 12(g) of the Act: None

Indicate by check mark if the registrant is a well-known seasoned issuer, as defined in Rule 405 of the Securities Act. Yes No Indicate by check mark if the registrant is not required to file reports pursuant to Section 13 or Section 15(d) of the Act. Yes No

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes No

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T (§232.405 of this chapter) during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes No

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, a smaller reporting company, or an emerging growth company. See the definitions of "large accelerated filer," "accelerated filer," "smaller reporting company," and "emerging growth company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer Accelerated filer Non-accelerated filer Smaller reporting company Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yes No

The aggregate market value of voting and non-voting common equity held by non-affiliates as of May 3, 2019, based upon the closing sale price of such shares on The Nasdaq Global Select Market on such date was approximately \$122.7 billion.

As of November 29, 2019, the registrant had 397,792,289 shares of its common stock, \$0.001 par value per share, outstanding.

Documents Incorporated by Reference

Information required in response to Part III of this Annual Report on Form 10-K is hereby incorporated by reference from the registrant's definitive Proxy Statement for its 2020 Annual Meeting of Stockholders. Except as expressly incorporated by reference, the registrant's Proxy Statement shall not be deemed to be a part of this Annual Report on Form 10-K. The registrant intends to file its definitive Proxy Statement within 120 days after its fiscal year ended November 3, 2019.

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Irell & Manella LLP 840 Newport Center Drive, Suite 400 Newport Beach, CA 92660

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

BROADCOM INC.

By: /s/ Hock E. Tan

Name: Hock E. Tan

Title: President and Chief Executive Officer

Date: December 20, 2019

POWER OF ATTORNEY

Each person whose individual signature appears below hereby authorizes and appoints Hock E. Tan, Thomas H. Krause, Jr., Mark D. Brazeal and Kirsten M. Spears, and each of them, with full power of substitution and resubstitution and full power to act without the other, as his or her true and lawful attorney-in-fact and agent to act in his or her name, place and stead and to execute in the name and on behalf of each person, individually and in each capacity stated below, and to file any and all amendments to this Annual Report on Form 10-K, and to file the same, with all exhibits thereto, and other documents in connection therewith, with the Securities and Exchange Commission, granting unto said attorneys-in-fact and agents, and each of them, full power and authority to do and perform each and every act and thing, ratifying and confirming all that said attorneys-in-fact and agents or any of them or their or his substitute or substitutes may lawfully do or cause to be done by virtue thereof.

Pursuant to the requirements of the Securities Exchange Act of 1934, this Annual Report on Form 10-K has been signed by the following persons on behalf of the Registrant in the capacities indicated and on the dates indicated.

<u>Signature</u>	<u>Title</u>	<u>Date</u>	
/s/ Hock E. Tan	President and Chief Executive	December 20, 2019	
Hock E. Tan	Officer and Director (Principal Executive Officer)		
/s/ Thomas H. Krause, Jr.	Chief Financial Officer	December 20, 2019	
Thomas H. Krause, Jr.	(Principal Financial Officer)		
/s/ Kirsten M. Spears	Principal Accounting Officer	December 20, 2019	
Kirsten M. Spears			
/s/ Henry Samueli	Chairman of the Board of Directors	December 20, 2019	
Henry Samueli			
/s/ Eddy W. Hartenstein	Lead Independent Director	December 20, 2019	
Eddy W. Hartenstein			
/s/ Diane M. Bryant	Director	December 20, 2019	
Diane M. Bryant			
/s/ Gayla J. Delly	Director	December 20, 2019	
Gayla J. Delly			
/s/ Check Kian Low	Director	December 20, 2019	
Check Kian Low			
/s/ Peter J. Marks	Director	December 20, 2019	
Peter J. Marks			
/s/ Justine F. Page	Director	December 20, 2019	
Justine F. Page	Sirector	December 20, 2019	
/s/ Harry L. You	Director	December 20, 2019	
Harry L. You			

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	Washington, Form 1			
(MARK ONE)	FOIIII 2	LU-IX		
✓ ANNUAL REPORT PURSUANT TO	O SECTION 13 OR 15(d)	OF THE SECURITIES	EXCHANGE ACT OF 1934	
For the fiscal year ended November	1, 2020			
•	OR			
☐ TRANSITION REPORT PURSUAN	IT TO SECTION 13 OR 1	5(d) OF THE SECURIT	IES EXCHANGE ACT OF 1934	
For the transition period from	to			
	Broadco	m Inc.		
Delaware	1320 Ridder F		001-38449	35-2617337
(State or Other Jurisdiction of	San Jose, CA	95131-2313	(Commission File	(I.R.S. Employer Identification No.)
Incorporation or Organization)	(408) 43	33-8000	Number)	identification (40.)
A	(Exact Name of Registrant as ddress of Principal Executive Registrant's Telephone Numb	Offices, Including Zip Code		
Saci	urities registered pursuant	to Section 12(h) of the A	let.	
Title of Each Class	unities registered pursuant	Trading Symbol(s)	Name of Each Exchange on Which	n Registered
Common Stock, \$0.001 par va	alue	AVGO	The NASDAQ Global Select	Market
8.00% Mandatory Convertible Preferred Stock, Se	ries A, \$0.001 par value	AVGOP	The NASDAQ Global Select	Market
Securities	registered pursuant to Sect	tion 12(g) of the Act: Noi	ne	
Indicate by check mark if the registrant is a well-kno	own seasoned issuer, as defir	ned in Rule 405 of the Sec	curities Act. Yes ☑ No □	
Indicate by check mark if the registrant is not require	ed to file reports pursuant to	Section 13 or Section 15(c	d) of the Act. Yes \square No \square	
Indicate by check mark whether the registrant (1) have preceding 12 months (or for such shorter period that ast 90 days. Yes \square No \square				
Indicate by check mark whether the registrant has s egulation S-T ($\$232.405$ of this chapter) during the pre es). Yes \square No \square				
Indicate by check mark whether the registrant is a lamerging growth company. See the definitions of "large ule 12b-2 of the Exchange Act.				
Large accelerated filer	☐ Non-accelerated filer	☐ Smaller reportin	ng company \Box Emerging grow	$^{\prime}$ th company \Box
If an emerging growth company, indicate by check revised financial accounting standards provided pursuar			ed transition period for complying wit	h any new or
Indicate by check mark whether the registrant has fiver financial reporting under Section 404(b) of the Sarbeport. ☑				
Indicate by check mark whether the registrant is a s	hell company (as defined in F	Rule 12b-2 of the Exchang	ge Act). Yes □ No ☑	
The aggregate market value of voting and non-votin n The Nasdaq Global Select Market on such date was	. , ,	n-affiliates as of May 1, 20	020, based upon the closing sale pri	ce of such shares
As of November 27, 2020, there were 406,713,118	shares of our common stock	outstanding.		
	Documents Incorpora	ated by Reference		
Information required in response to Part III of this Ai tatement for its 2021 Annual Meeting of Stockholders. art of this Annual Report on Form 10-K. The registrant	Except as expressly incorpor	ated by reference, the reg	jistrant's Proxy Statement shall not b	e deemed to be a

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Irell & Manella LLP 840 Newport Center Drive, Suite 400 Newport Beach, CA 92660

SIGNATURES

Pursuant to the requirements of Section 13 or 15(d) of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

BROADCOM INC.

By: Isl Hock E. Tan

Name: Hock E. Tan

Title: President and Chief Executive Officer

Date: December 18, 2020

POWER OF ATTORNEY

Each person whose individual signature appears below hereby authorizes and appoints Hock E. Tan, Kirsten M. Spears and Mark D. Brazeal, and each of them, with full power of substitution and resubstitution and full power to act without the other, as his or her true and lawful attorney-in-fact and agent to act in his or her name, place and stead and to execute in the name and on behalf of each person, individually and in each capacity stated below, and to file any and all amendments to this Annual Report on Form 10-K, and to file the same, with all exhibits thereto, and other documents in connection therewith, with the Securities and Exchange Commission, granting unto said attorneys-in-fact and agents, and each of them, full power and authority to do and perform each and every act and thing, ratifying and confirming all that said attorneys-in-fact and agents or any of them or their or his substitute or substitutes may lawfully do or cause to be done by virtue thereof.

118

Pursuant to the requirements of the Securities Exchange Act of 1934, this Annual Report on Form 10-K has been signed by the following persons on behalf of the Registrant in the capacities indicated and on the dates indicated.

<u>Signature</u>	<u>Title</u>	<u>Date</u>	
/s/ Hock E. Tan Hock E. Tan	President and Chief Executive Officer and Director (Principal Executive Officer)	December 18, 2020	
/s/ Kirsten M. Spears Kirsten M. Spears	Chief Financial Officer (Principal Financial Officer and Principal Accounting Officer)	December 18, 2020	
Isl Henry Samueli Henry Samueli	Chairman of the Board of Directors	December 18, 2020	
/s/ Eddy W. Hartenstein Eddy W. Hartenstein	Lead Independent Director	December 18, 2020	
/s/ Diane M. Bryant Diane M. Bryant	Director	December 18, 2020	
/s/ Gayla J. Delly Gayla J. Delly	Director	December 18, 2020	
/s/ Raul F. Fernandez Raul F. Fernandez	Director	December 18, 2020	
/s/ Check Kian Low Check Kian Low	Director	December 18, 2020	
/s/ Justine F. Page Justine F. Page	Director	December 18, 2020	
/s/ Harry L. You Harry L. You	Director	December 18, 2020	